

The University of North Carolina at Greensboro
Internal Audit Plan Summary
Year Ending June 30, 2017

September 12, 2017

Specific Audits	Included in the Audit Plan	Audit Status	Reported Audit Findings	Status of Finding Resolution
Audits/Reviews of Internal Controls				
<u>Auxiliary Enterprises</u>	FY2017	Postponed	N/A	
<u>Gift Processing</u>	FY2017	Complete	None	
<u>Self-Assessment of Internal Controls</u>	FY2017	Complete	N/A	
<u>Weatherspoon Arts Foundation (Inventory Observation)</u>	FY2017	Complete	None	
Compliance Audits				
<u>Contracted Services</u>	FY2016	Complete	<i>Minor findings: Disbursements were allowable expenditures; however, exceptions noted relative to compliance by departments with policies and procedures governing contractual services documentation (six departments).</i>	<i>Resolved - Follow-up Completed</i>
<u>Capital Projects</u>	FY2017	Complete	None	
<u>Departmental Receipts</u>	FY2017	In-Process	N/A	
<u>Intercollegiate Athletics Program (assistance with Agreed-Upon Procedures review)</u>	FY2017	Complete	None	
<u>Misuse or Theft of State Property (reporting)</u>	FY2017	Complete	<i>Minor findings: all reportable incidents were forwarded to the SBI in accordance with the NC statute. Three incident reports were not forwarded on a timely basis (beyond the ten days requirement).</i>	<i>Resolved - Follow-up Completed</i>
<u>Purchasing - Purchase Orders</u>	FY2017	Postponed	N/A	
<u>Travel Expenditures</u>	FY2017	In-Process	N/A	
Audit Findings Follow-up				
<u>Follow-up Resolution of Audit Findings of the Office of the State Auditor (financial audit)</u>	FY2017	N/A	No reportable findings identified by State Auditor	
Special Assignments				
<u>Risk Assessment (for development of internal audit plans)</u>	FY2017	Complete	N/A	
Other				