Section B and Section C due date: Submit your campus narratives for Section B (i.e., B-1, B-2) and Section C (i.e., C-1, C-2, C-3.1, C3.2, C3.3, C-3.4, C-3.5, C-3.6, C-3.7, C-3.8, C3.9, C3.10, C3.11, and C3.12) via email to Celeste Parrish at cparrish@northcarolina.edu and Kathy Jones at kjones@northcarolina.edu with a cc: to Betty Pearson at blpearson@northcarolina.edu by 5:00 p.m., Monday, December 31, 2012.

Background: The annual BOG report requires disclosure of the academic characteristics and performance of the UNC system’s student-athletes. It is aligned and synchronized, where possible, with the information campuses already report to the NCAA and the U.S. Department of Education. Section A of the 2012 BOG report covers the same categories of data as did the 2011 report with one addition -- an addendum to Tables 2A and 2B.

Two new sections have been added to this year’s report. Section B focuses on student-athletes and course “clustering” and on the use of “independent studies” by student-athletes. Section C focuses on the Task Force Report on Athletics and Academics released on August 11, 2011 and responded to by President Ross on August 31, 2012 (copies of these documents are attached).

The BOG report will be composed of all three sections and shared at the February BOG.
SECTION B

BOG 1100.1 entitled “Intercollegiate Athletics” was adopted in October 1985 and provides the guiding principles and expectations for ensuring integrity in intercollegiate athletics at the fifteen UNC campuses with intercollegiate athletics programs. The annual BOG intercollegiate athletics report has focused historically on the data needs found in BOG 1100.1’s items 13 b, c, d, e, and f. Those items are in Section A’s tables and addendum.

For 2012, a Section B has been added to the annual BOG report. Section B requests the fifteen Chancellors who lead campuses with intercollegiate athletics programs to respond in a narrative format to the following two items:

**B-1:** What protocols does your institution have in place, or are considering, to monitor the “clustering” of student-athletes in classes, both in regular semesters as well as summer school? Please include in your response the titles of individuals, both inside and outside athletics, who are part of the protocols.

**Status Update:** Compliant:

At the conclusion of the registration process each semester, prior to the start of each semester (including summer sessions) and at the end of the Drop/Add period, a report will be generated by the Athletic Eligibility Specialist, in consultation with the Registrar Office Liaison for NCAA Compliance, which will provide data on class registration that will assist in detecting any clustering in classes. This report will be reviewed by the Associate Athletic Director for Academics & Student Development and the Student-Athlete Academic Support Services (SASS) staff for clustering, full time enrollment and degree applicable courses per NCAA rules. After the Drop/Add period, data will be collected and placed in report that will be provided to the Chancellor, Provost, Director of Athletics, Faculty Athletics Representative (FAR) and Chairperson of the Faculty Committee on Intercollegiate Athletics (FCIA). This report will be reviewed by the above parties to ensure, there is no issue of clustering of student-athletes.

A supplementary report will be created on a semester by semester basis that collects data on the different declared majors of the student-athletes to ensure that there is no clustering within majors. This report will be provided to the Chancellor, Provost, Director of Athletics, Faculty Athletics Representative (FAR) and Chairperson of the Faculty Committee on Intercollegiate Athletics (FCIA). Again, this report will be reviewed by the above parties to ensure there is no issue of clustering of student-athletes within academic majors.

**Implementation Date:** January 1, 2013

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** Athletic Eligibility Specialist and Melanie Hoover, Registrar Office Liaison for NCAA Compliance
**B-2:** What protocols does your institution have in place, or are considering, to monitor the utilization of “independent studies” by student-athletes, both in regular semesters as well as summer school? Please include in your response the titles of individuals, both inside and outside athletics, who are part of the protocols.

**Status Update:** Compliant:

Prior to enrollment, all “independent studies” must be approved by the Associate Athletic Director for Academics & Student Development. The Associate Athletic Director for Academics & Student Development will verify the validity of the “independent study” with the appropriate academic official within that department; this will act as a checks and balance to make sure that both departments are in agreement. Once that is verified, prior to the start of each semester, a report regarding the number of independent studies and a brief synopsis of each will be provided to the Chancellor, Provost, Director of Athletics, Faculty Athletics Representative (FAR) and Chairperson of the Faculty Committee on Intercollegiate Athletics (FCIA). In addition, this report will be given to the Board of Trustees on a bi-annual basis.

**Implementation Date:** January 1, 2013

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** TBD
For 2012, a Section C has been added to the annual BOG report. On August 11, 2011, the recommendations of the UNC Task Force on Athletics and Academics were released. After the report’s release, President Ross sought feedback and additional input from Chancellors and Athletic Directors and discussed with others the recommendations included in the report. On August 31, 2012, President Ross endorsed all of the Task Force’s recommendations. Two of the recommendations have specific reporting requirements (see C-1 and C-2 below) and twelve recommendations (see C-3.1 through C-3.12 below) ask for campus review and action, if needed, to fulfill the specific strategy cited.

Section C requests the fifteen Chancellors who lead campuses with intercollegiate athletics programs to respond in a narrative format to the following fourteen items:

**C-1: Integration of Athletics Programs with the Academic Enterprise**

The Chancellors are to report to President Ross by December 31, 2012, on the steps they have taken to ensure that the Compliance Office reports to the chancellor (or the chancellor’s non-athletics department designee) and that academic support services and tutoring are placed under the purview and responsibility of Academic Affairs or another appropriate unit outside the athletic department.

**Status Update:** Compliant:

After discussion with the Chancellor, Provost, Faculty Athletics Representative, Director of Athletics and the Chancellor’s Chief of Staff, the position of Associate Athletic Director for Compliance will have a joint reporting relationship beginning January 1, 2013. The position will report to the Director of Athletics and the Chancellor’s Chief of Staff.

After discussion with the Chancellor, Provost, Faculty Athletics Representative, Director of Athletics, Chief of Staff and Dean of Undergraduate Education, the position of Associate Athletic Director for Academics and Student Development will have a joint reporting relationship beginning January 1, 2013. The position will report to the Director of Athletics and the Dean of Undergraduate Studies.

The university has a centralized tutoring program, which athletics has used but does not supervise. The university tutoring program is nationally accredited and one of their main focuses is to ensure that students are responsible to do their own work.

**Implementation Date:** January 1, 2013

**Primary Contact:** Dr. Linda Brady, Chancellor

**Secondary Contact(s):** Bonita Brown, Chancellor’s Chief of Staff, Dr. Steve Roberson, Dean of Undergraduate Studies and Kim Record, Director of Athletics
Early Warning Toolkit

NCAA’s FLAG program (Facilitating Learning and Achieving Graduation) is a potential external resource that could aid institutions as they work to mitigate any academic risks of student-athletes. FLAG is a prototype program now under development by the NCAA specifically for use by NCAA Division I schools. FLAG will provide participating institutions with tools to help increase the efficiency of their current services (including academic support and life-skills programs) and to make informed decisions regarding recruiting, admissions, and program resources (e.g., staffing and budget).

While our Division I schools may choose to use the NCAA FLAG program when it is fully developed, all UNC campuses regardless of classification must take appropriate measures to have in place an "early warning toolkit" to monitor class attendance, participation in tutorials and study halls, grades on class assignments, and other measures that assess performance of student-athletes in the classroom. The Chancellors are to report to President Ross by December 31, 2012, on the steps they have taken to assure that an early awareness toolkit is in place.

**Status Update:** Compliant:

Currently, the Department of Athletics uses the academic tracking programs Starfish and Artemis. These programs assist the Associate Athletic Director for Academics & Student Development and the Student-Athlete Academic Support Services (SASS) staff in the tracking of class attendance, participation in tutorials and study hall, grades on class assignments and gathering of other pertinent academic information. Starfish system allows early warning notification to SASS of student-athletes with low academic performance and attendance issues. SASS mandates weekly progress meetings to monitor at risk student-athletes with academic support staff and study hall requirements for those student-athletes, who have demonstrated poor academic performance or have been deemed at-risk by evaluation of their academic credentials throughout the recruiting process, particularly if the student-athlete was admitted as an exception.

The Starfish academic reports are produced three times per semester and reviewed by the Associate Athletic Director for Academics & Student Development, the Sport Oversight and Head Coach of the respective sport. The Artemis program is reviewed daily for attendance in tutorials and study hall. Once, the NCAA’s Flag program has been fully developed the Department of Athletics will look to implement and incorporate this program into their current systems.

**Implementation Date:** Implemented prior the 2009-10 academic year and continual review of the tracking systems

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** Dr. Steve Roberson, Dean of Undergraduate Studies and Jody Smith, SWA/Associate Athletic Director for Compliance
C-3: Primary Risks and Control Strategies Identified by the Task Force

The Task Force report identified specific risks as well as strategies for helping to address those risks. Please provide a brief narrative of the current status of your campus actions to meet the expectations outlined in the twelve items below from the Task Force report.

C-3.1 The chancellor must be aware of the process for admitting at-risk student-athletes and be an active participant in the admission of exceptions. Board of Governors policy now requires that the campus process resulting in an exception include faculty participation, with the final authorization made by the chancellor.

Status Update: Compliant with improvements:

The Chancellor has the final authority on all admission exceptions. All student-athletes go through the same admissions process that general student goes through; this includes the admission exception process. However, the process needs to become more formalized by updating and revising the written policy, as well as, improved communication with staff and coaches regarding this procedure. In addition, the process will need to incorporate faculty participation, it has been decided that a three person committee for athletic admission exceptions will be created to review the requests for an athletic exception prior to final submission to the Chancellor. The chairpersons from the Faculty Committee on Intercollegiate Athletics (FCIA), the Athletics Committee on Intercollegiate (ACIA) and a designee from Undergraduate studies will make up the committee. The Associate AD for Academics and Student Development, Associate AD for Compliance, Director of Undergraduate Admissions and Senior Associate Director for Undergraduate Admissions will review the written policy and update for Chancellor’s final approval by July 1, 2013.

Implementation Date: July 1, 2013

Primary Contact: Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

Secondary Contact: Lise Keller, Director of Admissions, Kacy McAdoo, Senior Associate Director – Undergraduate Admissions and Jody Smith, SWA/Associate Athletic Director for Compliance
C-3.2 Campuses should track the academic progress of all student-athletes (and nonstudent-athletes) who are admitted as exceptions beyond their freshman year in order to determine if the institution is being successful at retaining and graduating such students.

**Status Update:** Compliant:

Currently, this directive is in place and the data is gathered by the Office of Institutional Research. The data is given to the Department of Athletics for review on a yearly basis for assisting in completion of the UNC Board of Governor’s Report.

The Associate Athletic Director for Academics & Student Development has begun requiring student-athletes, who have been admitted as an exception to complete academic contracts and agree to additional academic monitoring. Coaches and the Student-Athlete Academic Support Services staff will have weekly academic progress meetings with those student-athletes and they will have additional study hall requirements. Athletic teams, who have historically shown poor academic performance or have had APR issues, will be required to provide evidence of admission rationale, particularly if the program has requested exceptions in the past.

**Implementation Date:** Implemented prior the 2009-10 academic year

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development and Dr. Sarah Carrigan, Director of Institutional Research

**Secondary Contact:** Jody Smith, SWA/Associate Athletic Director for Compliance and Karen Blackwell, Assistant Director of Institutional Research
C-3.3 Campuses should conduct an analysis of each individual athletic team to determine which teams are admitting the largest percentage of student-athletes who are academically less prepared. If the academic record of a team is not consistent with the standards on that campus (e.g., lower retention rates, inadequate progress towards degree, etc.), then those teams should be given less flexibility during the admissions process than those teams that have demonstrated a track record of appropriately supporting that group of student-athletes.

**Status Update:** Compliant:

On a semester by semester (including both summer sessions), an academic report of each athletic team is created and reviewed by the Associate Director Athletics for Academics & Student Development, the Sport Oversight and Head Coach of the respective sport. The reports are generated by team and by student-athlete within the team. All “at-risk” student-athletes are reviewed to ensure their progress toward degree. The academic reports are reviewed by the Director of Athletics and Faculty Athletics Representative and any student-athletes that are flagged as an academic concern are discussed in detail.

In addition to the academic reports, Student-Athlete Academic Support Services (SASS) staff monitors and evaluates a list of those student-athletes deemed "at-risk" on a semester by semester basis. These student-athletes could have had poor academic performance in the past, been at-risk for ineligibility or admitted as an exception. They are required to have weekly academic progress meetings with SASS and maintain weekly study hall hours. SASS has progress meetings with coaching staffs to discuss Starfish database reports, current academic performance of their student-athletes and ensure academic eligibility, progress, and graduation. Athletic teams, who have historically shown poor academic performance or have had APR issues, will be required to provide evidence of admission rationale, particularly if the program has requested exceptions in the past. This process has been a standing operating procedure, but it needs to become more formalized through a written policy.

**Implementation Date:** Implemented in 2009-2010

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** Melanie Hoover, Registrar Office Liaison for NCAA Compliance and Jody Smith, SWA/Associate Athletic Director for Compliance
C-3.4 Coaches and athletics departments should be held accountable for ensuring that full evaluations are conducted of the prospective student-athletes they recruit. In cases where a prospective student-athlete has previously experienced behavioral problems or academic issues, involvement of faculty and academic support personnel is recommended during on-campus visits.

**Status Update:** Compliant:

Currently, prospective student-athletes are required to meet with a staff member from the Spartan Academic Support Services (SASS) while on an official visit. In addition, the SASS has developed a recruiting presentation checklist for their use during official visits. Furthermore, SASS involves faculty members during prospects’ visits to discuss potential majors. SASS and Athletics Compliance will evaluate the current procedures for on-campus visits, as well as, coaches’ recruitment of prospects to develop a more structured written policy for implementation in the near future.

**Implementation Date:** July 1, 2013

**Primary Contact (s):** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** Jody Smith, SWA/Associate Athletic Director for Compliance.
C-3.5 All UNC institutions should strive to have all athletics academic support units achieve Program Certification from the National Association of Academic Advisors for Athletics (N4A). Additionally, academic support units should have faculty advisory committees.

**Status Update:** Non-Compliant and Compliant

Currently, the Department of Athletics is researching this N4A certification process and the requirements to meet the directive. Once all of the information has been gathered, a timetable will be created for achieving certification and meeting this directive.

The Chancellor has a standing committee Faculty Committee on Intercollegiate Athletics (FCIA) which has advisory responsibilities. The Department of Athletics works with the Faculty Committee on Intercollegiate Athletics (FCIA) to oversee the academic integrity of issues within the department of athletics. The Provost, in conjunction with the Director of Athletics, Dean of Undergraduate Studies and the Associate Athletic Director for Academics & Student Development, will review and update their charge as an advisory committee to the athletics academic support unit. This will be completed by July 1, 2013.

**Implementation Date:** Begin the process January 1, 2013 and working through the spring semester and having a final timetable by July 1, 2013

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development and Dr. David Perrin, Provost and Executive Vice Chancellor

**Secondary Contact:** Kim Record, Director of Athletics and Dr. David Wyrick Chairperson of FCIA
C-3.6 All institutions are capable of having their lead athletics academic staff member achieve Individual N4A Professional Certification. All campuses already have a regular NCAA self-study requirement, and that should serve as the foundation for the review. The N4A certification is a supplemental review that has merit and should be completed.

**Status Update:** Non-Compliant:

The Department of Athletics is researching this process and the requirements to meet the directive. After all of the information has been gathered, a timetable will be created for achieving certification. In regards to the academic self-study, an academic self-study was completed in the fall of 2011; this was part of NCAA certification process in 2012. The basis of this study followed the structure used by N4A and a certified N4A member assisted with the self-study.

**Implementation Date:** Beginning the process January 1, 2013 and working through the spring semester and having a final timetable by July 1, 2013

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** Kim Record, Director of Athletics and and Dr. David Wyrick Chairperson of FCIA
C-3.7 Student-athletes who have exhausted their athletic eligibility, chosen to leave the team, or been injured should receive the same set of academic support services as provided to eligible and competing student-athletes.

**Status Update: Compliant:**

Currently, the Department of Athletics meets this directive. Any student-athlete who is receiving athletics aid and who has exhausted eligibility, is injured and not able to participate or is no longer on the team receives the same academic support services as other student-athletes. Student-Athlete Academic Support Services (SASS) staff members have the primary responsibility of monitoring these specific individuals. This has been the standard operating procedure since the 2009-10. SASS is in the process of evaluating the system in place and will have a formalized written procedure and will be communicated to student-athletes and coaches in place by July 1, 2013.

**Implementation Date:** Implemented in 2009-2010

**Primary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development

**Secondary Contact:** Jody Smith, SWA/Associate Athletic Director for Compliance and the Sport Oversight for that respective sport.
C-3.8 All coaches should be held accountable for the academic success of their student-athletes and understand that they are instrumental in the academic success of student-athletes.

**Status Update:** Compliant:

This directive has been met and is a part of the formal yearly review with Director of Athletics at the end of the year. The Director of Athletics consistently communicates that coaches are accountable for and instrumental in the academic success of their student-athletes. This is standard operating procedure and UNCG will formalize in a written policy and communicate this concept which includes placing language in annual contracts addressing this directive.

**Implementation Date:** Implemented in 2009-10 and formalized by July 1, 2013 (annual contract language)

**Primary Contact:** Kim Record, Director of Athletics

**Secondary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development and Dr. Terry Ackerman, Faculty Athletics Representative
C-3.9 Regular open discussions about ethical standards should be held with individuals who work with student-athletes. Codes of conduct must be discussed with all student-athletes during the orientation period and periodically thereafter.

**Status Update:** Compliant:

Regular discussions about ethical standards are held with individuals in the department of athletics, who work with student-athletes. The Director of Athletics discusses codes of conduct with all student-athletes annually in orientation meetings. In addition, all student-athletes sign the Department of Athletics Code of Conduct.

UNCG will begin to formalize the educational process of NCAA rules and ethical standards with individuals outside the department of athletics. The Provost, Chancellor's Advisory Council on Intercollegiate Athletics (ACIA) and the Faculty Committee on Intercollegiate Athletics (FCIA) will be involved in this process and it will be completed by August 1, 2013.

**Implementation Date:** Implemented prior to 2009-10 for Department of Athletic Staff Members. Beginning in August of 2013, an educational program will be created to discuss ethical standards and NCAA rules for individuals outside of Department of Athletics that work with student-athletes.

**Primary Contact:** Kim Record, Director of Athletics and Dr. David Perrin, Provost and Executive Vice Chancellor

**Secondary Contact:** Jennifer Sansevero, Associate Athletic Director for Academics & Student Development, Dr. Alan Boyette, Vice Provost, Dr. Steve Roberson, Dean of Undergraduate Studies and Jody Smith, SWA/Associate Athletic Director for Compliance
C-3.10 The recruitment of both full-time and part-time academic support staff should include a careful screening process to ensure that people with the highest level of integrity are hired. Individuals providing tutorial support to student-athletes should go through a training session prior to the first tutoring session and should receive continued training throughout their employment. Academic support professionals must be trained on the NCAA progress-toward-degree requirements and all relevant academic legislation. All staff who have significant contact with student-athletes including tutors, facility monitors, mentors, and many others must sign a pledge that they will comply with all NCAA rules, report all possible violations, and ensure that a student-athlete's work is his/her own.

**Status Update: Compliant and Non-Compliant:**

We are compliant with the directive in regards to the screening process of new hires, tutorial training sessions and academic support professionals trained on the NCAA progress-toward-degree requirements. Currently, all Department of Athletics staff members must sign the NCAA Certification of Compliance on a yearly basis; which states they will comply with all NCAA rules, report all possible violations, and ensure that a student-athlete's work is his/her own.

For staff and faculty outside of the department of athletics, we are non-compliant in the area of “signing of a pledge” that states they will comply with all NCAA rules, report all possible violations, and ensure that a student-athlete's work is his/her own. This will be a part of the educational process stated in C-3.9.

**Implementation Date:** Implemented prior to 2009-10 for Department of Athletic Staff Members. Beginning in August of 2013, an educational program will be created to discuss ethical standards and NCAA rules for individuals outside of Department of Athletics that work with student-athletes.

**Primary Contact:** Dr. David Perrin, Provost and Executive Vice Chancellor, Dr. Steve Roberson, Dean of Undergraduate Studies and Kim Record, Director of Athletics

**Secondary Contact:** Dr. Alan Boyette, Vice Provost, John Foreman, Director of Student Success Center, Jennifer Sansevero, Associate Athletic Director for Academics/Student Development and Jody Smith, SWA/Associate Athletic Director for Compliance
C-3.11 As a means to constructively evaluate and improve academic support programs, campuses must seek feedback to provide to tutors and academic support program staff from student-athletes, coaches with whom there is working contact, faculty with whom there is working contact, and the academic support administrator to whom the staff member reports.

Status Update: Non-Compliant.

This process is being researched and will take some time to develop an efficient and effective tool to meet this directive.

Implementation Date: Researching and beginning the development of a tool will begin during the spring semester of 2013 and possible implementation for the 2013-14 academic year.

Primary Contact: Jennifer Sansevero, Associate Athletic Director for Academics/Student Development and Dr. Steve Roberson, Dean of Undergraduate Studies

Secondary Contact: Dr. Terry Ackerman, FAR and Chairperson of ACIA and Dr. David Wyrick, Chairperson of FCIA
C-3.12 Chancellors must ensure that there is a compliance and infraction investigation and reporting structure for which a senior administrator outside of the department of athletics is accountable.

**Status Update:** Compliant.

Currently, there is a compliance and infraction investigation and reporting structure in place within the Department of Athletics. The Chancellor, through the day to day reporting relationship with the Associate Athletic Director for Compliance to the Chief of Staff, has a structure to ensure that a compliance and infraction investigation process is in place. There will be a written policy outlining the specifics of this process by July 1, 2013.

**Implementation Date:** July 1, 2013

**Primary Contact:** Dr. Linda Brady, Chancellor and Kim Record, Director of Athletics

**Secondary Contact:** Bonita Brown, Chief of Staff and Jody Smith, SWA/Associate Athletic Director for Compliance